

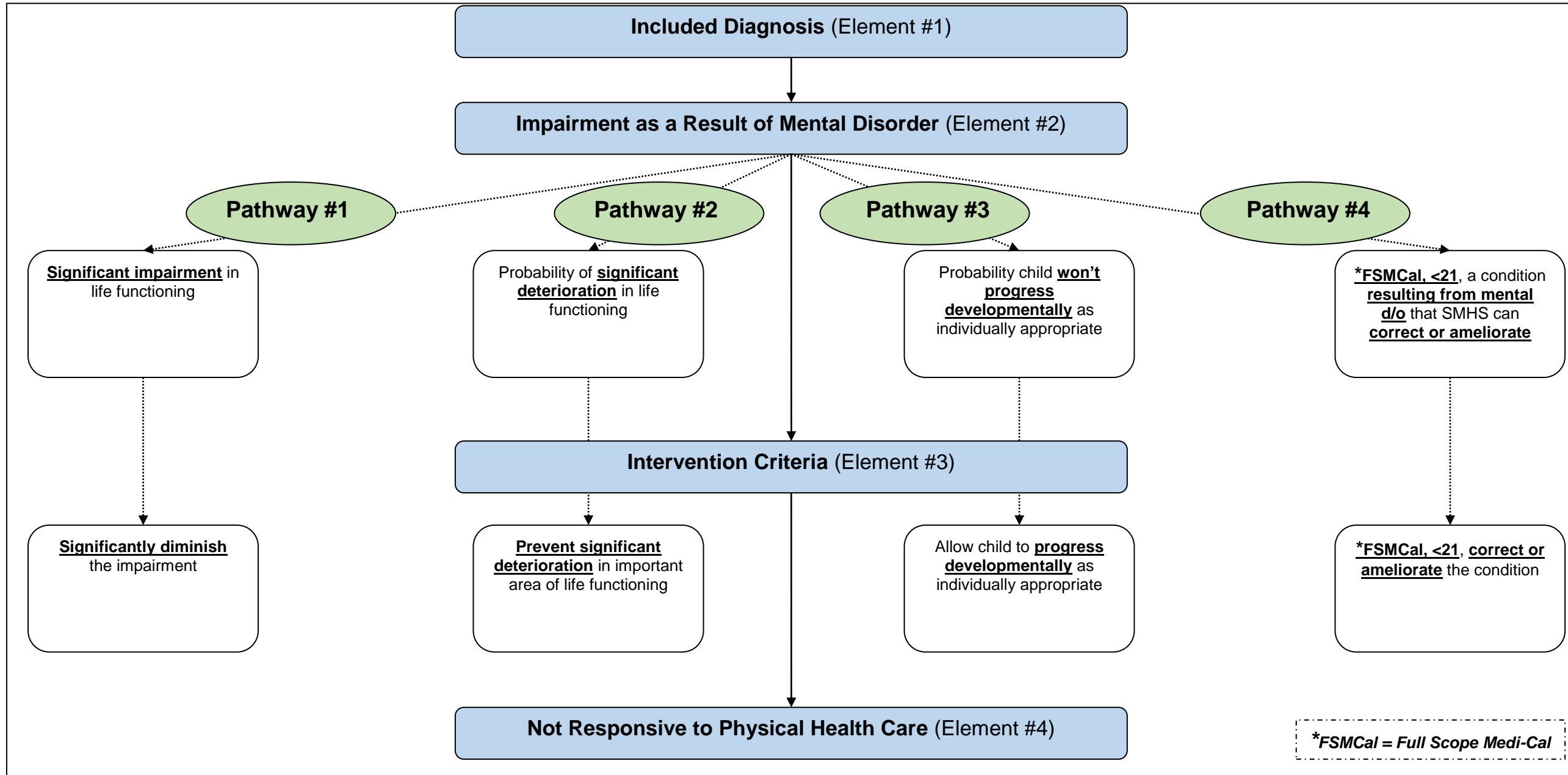
Clinical Documentation Tool:

This tool describes and displays the Medical Necessity for Specialty Mental Health Services (SMHS) in two different ways: as a picture and as a table.

Background and Source Information for this Tool:

1. The DHCS Chart Audit Protocol (FY15-16) describes the requirements for Medical Necessity for SMHS. However, the language of DHCS could be confusing for clinicians.
2. As described in the FY15-16 DHCS Chart Audit Protocol (available at http://www.dhcs.ca.gov/formsandpubs/Documents/15-042_Enc1_RvwProtocol.pdf):
 - **Required Element #1:** Client must have a covered/included diagnosis [CCR Title 9, §1830.205(b)(1)(A-R)]
 - **Required Element #2:** The beneficiary, as a result of a mental disorder or emotional disturbance listed in 1a, must have at least one (1) of the following criteria (1-4 below):
 - 1) A significant impairment in an important area of life functioning [CCR Title 9, §1830.205(b)(2)(A)]
 - 2) A probability of significant deterioration in an important area of life functioning [CCR Title 9, §1830.205(b)(2)(B)]
 - 3) A probability that the child will not progress developmentally as individually appropriate [CCR Title 9, §1830.205(b)(2)(C)]
 - 4) For full-scope MC beneficiaries under the age of 21 years, a condition as a result of the mental disorder or emotional disturbance that SMHS can correct or ameliorate. [CCR Title 9, §1830.210]
 - **Required Element #3:** Do the proposed and actual intervention(s) meet the intervention criteria listed below:
 - 1) The focus of the proposed and actual intervention(s) is to address the condition identified in No. 1b. (1-3) above, or for full-scope MC beneficiaries under the age of 21 years, a condition as a result of the mental disorder or emotional disturbance that SMHS can correct or ameliorate per No. 1b(4).
 - 2) The expectation is that the proposed and actual intervention(s) will do at least one (1) of the following (A, B, C, or D):
 - 1) A. Significantly diminish the impairment [CCR Title 9, §1830.205(b)(3)(B)(1)]
 - 2) B. Prevent significant deterioration in an important area of life functioning [CCR Title 9, §1830.205(b)(3)(B)(2)]
 - 3) C. Allow the child to progress developmentally as individually appropriate [CCR Title 9, §1830.205(b)(3)(B)(3)]
 - 4) D. For full-scope MC beneficiaries under the age of 21 years, correct or ameliorate the condition [CCR Title 9, §1830.210]
 - **Required Element #4:** The condition would not be responsive to physical health care based treatment [CCR Title 9, §1830.205(b)(3)(C)]
3. DHCS' MHSUDS Information Notice 16-061 helps to clarify that "condition" references the functional impairment (vs. the diagnosis).

SFDPH-BHS Clinical Documentation Tools: Medical Necessity Elements & Pathways for Outpatient SMHS



SFDPH-BHS Clinical Documentation Tools: Medical Necessity Elements & Pathways for Outpatient SMHS

<i>Four Clinical Stories/ Pathways of Medical Necessity for Mental Health Medi-Cal SMHS</i>	<i>Four Required <u>Elements</u> of Medical Necessity for Mental Health Medi-Cal SMHS</i>			
	Element #1: Covered Mental Health Diagnosis	Element #2: Functional Impairments Show...	Element #3: ...Treatment Interventions Will	Element #4: Not Responsive to Physical Health Care Treatment
Client has current significant impairments in life functioning...	Every Clinical Story/Pathway must have a Covered/ Included Diagnosis	A significant impairment in an important area of life functioning...	...significantly diminish the impairment in an important area of life functioning	Every Clinical Story/Pathway requires the condition is not responsive to physical health- based treatment
Client has probability of significant deterioration in life functioning...		A probability of significant deterioration in an important area of life functioning	...prevent significant deterioration in an important area of life	
Child client has probability of not progressing developmentally as appropriate...		A probability child will not progress developmentally as individually appropriate	...allow the child to progress developmentally as individually appropriate	
Child client has a condition that can be corrected or ameliorated (and is full- scope Medi-Cal, <21yrs)...		For full-scope Medi-Cal beneficiaries under 21, a condition as result of mental disorder that SMHS can correct or ameliorate	... correct or ameliorate the condition for full-scope Medi-Cal beneficiaries under the age of 21 years	